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#4  
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Applicant: NICOLO F. MACHI, ET AL.  
Appl. No.: 09/975,162 Group: 2881  
Filed: October 11, 2001 Examiner: UNKNOWN  
For: IR LASER DIODE BASED HIGH INTENSITY  
LIGHT

South Bend, Indiana  
November 29, 2001

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

**LETTER**

Sir:

Applicant wishes to advise the United States Patent and Trademark Office that the Declaration of Nicolo F. Machi and Nam H. Vo were filed on November 5, 2001 to perfect Applicant's filing requirements.


The filing requirements were perfected prior to the receipt of the Notice to File Missing Parts of Nonprovisional Application, a copy of which is attached hereto.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 01-1125 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

NICOLO F. MACHI, ET AL.

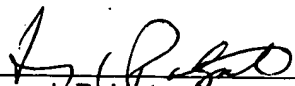
By

  
Larry J. Palguta, Reg. No. 29,575  
3520 Westmoor Street  
South Bend, Indiana 46628  
(219) 231-2319

Attachments: Copy of Notice to File Missing Parts (w/Declaration)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with  
The United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on November 29,  
2001.

  
\_\_\_\_\_  
Larry J. Palguta, Attorney  
219/231-2319

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,162	10/11/2001	Nicolo F. Machi	055-00-002

Larry J. Palguta  
Honeywell Law Dept.  
3520 Westmoor Street  
South Bend, IN 46628



CONFIRMATION NO. 9108  
FORMALITIES LETTER



OC000000007092553

Date Mailed: 11/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

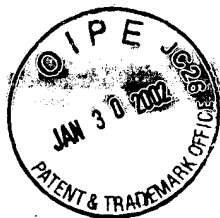
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.18(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: NICOLO F. MACHI & NAM H. VO  
Appl. No.: 09/975,162 Group: UNKNOWN  
Filed: October 11, 2001 Examiner: UNKNOWN  
For: IR LASER DIODE BASED HIGH INTENSITY  
LIGHT

South Bend, Indiana  
November 5, 2001

**THIS IS NOT A NEW PATENT APPLICATION**  
LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f)

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The application papers for the above-identified application were originally  
filed on October 11, 2001 and the application was assigned Appl. No. 09/975,162.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached  
hereto is the executed Declaration of inventors Nicolo F. Machi and Nam H. Vo

(☒ original ☐ photocopy), necessary for completing the filing  
requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached  
hereto is the executed Declaration that was attached to the specification at the  
time of execution. The attached specification is a true copy of the specification that

was filed in the U.S. Patent and Trademark office on \_\_\_\_\_,  
including any amendments thereto (if applicable) filed on even date therewith.

☐ The undersigned hereby declares that "Attorney Docket No. \_\_\_\_\_"  
on page 1 of the inventors' Declaration, filed on \_\_\_\_\_, corresponds to Appl.  
No. \_\_\_\_\_, filed \_\_\_\_\_, entitled "\_\_\_\_\_."

☐ Attached are ( ) sheet(s) of formal drawings.

☐ Attached is a copy of the Notice to File Missing Parts  
of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic  
Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto  
and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge (☒ Large Entity - \$130.00;  
☐ Small Entity - \$ 65.00)

☐ Attached hereto is a Statement Claiming Small Entity  
Status (☐ original ☐ photocopy).

☒ Submitted concurrently herewith **under separate  
cover** for recording is an Assignment.

☒ No extension fee is required because the undersigned has not yet  
received the Notice to File Missing Parts of Nonprovisional Application. However,  
if for some reason it is determined that an extension of time is necessary, applicant  
hereby respectfully petitions for an extension of time for the filing of the present  
paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. §  
1.17.

☐ Applicant hereby respectfully petitions for a \_\_\_\_\_ ( ) month(s)  
extension of time for the filing of the present paper in accordance with the  
provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of  
\$ \_\_\_\_\_ is attached hereto.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the basic filing fee,  
surcharge fee, and any extension of time fees (if applicable) is enclosed.



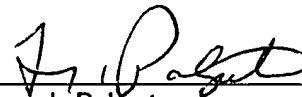
Please charge Deposit Account No. 01-1125 in the amount of \$130.

A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 01-1125 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

By



Larry J. Palguta  
Reg. No. 29,575  
3520 Westmoor Street  
South Bend, IN 46628  
(219) 231-2319

Attachments: Declaration of Nicolo F. Machi and Nam H. Vo

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on November 5, 2001.



Larry J. Palguta, Attorney  
219/231-2319



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### DECLARATION FOR PATENT APPLICATION

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
"IR LASER DIODE BASED HIGH INTENSITY LIGHT" (File No. 055-00-002) the specification of which

(Check one):

\_\_\_\_\_ is attached hereto.

☒ was filed on October 11, 2001 as

Application Serial No 09/975,162

and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

#### Prior Foreign Application(s)

#### Priority Claimed

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.  
60/257,203

Filing Date  
December 20, 2000

Status  
(Patented, Pending, Abandoned)  
Pending

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:


<u>Name</u>	<u>USPTO Registration Number</u>	<u>Telephone Number</u>
Larry J. Palguta	29,575	(219) 231-2319
Robert Desmond	38,450	(310) 512-4885
Roger H. Criss	25,570	(973) 455-4796
Loria Yeadon	35,063	(973) 455-5552
William J. Zak, Jr.	38,668	(310) 512-4885
Eric Halsne	46,753	(425) 376-2107
Michael A. Forhan	46,706	(937) 484-2641

**Post Office Address:** Honeywell International Inc.  
Law Department  
3520 Westmoor Street  
South Bend, Indiana 46628


All telephone calls and correspondence should be addressed to the above first named attorney.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Full name of first inventor:** NICOLO F. MACHI

	29 October 2001	USA
<b>Inventor's Signature</b>	<b>Date</b>	<b>Citizenship</b>
<b>Residence</b> <u>3176 Windy Ridge Drive, Springfield, Ohio 45502</u>		
<b>Post Office Address</b> <u>3176 Windy Ridge Drive, Springfield, Ohio 45502</u>		

**Full name of second inventor:** NAM H. VO

	29 October 2001	USA
<b>Inventor's Signature</b>	<b>Date</b>	<b>Citizenship</b>
<b>Residence</b> <u>1508 Village Drive, Marysville, Ohio 43040</u>		
<b>Post Office Address</b> <u>1508 Village Drive, Marysville, Ohio 43040</u>		



Honeywell

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Lab Department  
3520 Westwood Street  
South Bend, IN 46626-1573

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